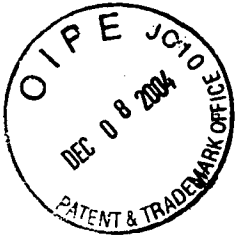


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PATENTS  
112056-0135U

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of: )  
Amol R. Chitre et al. )  
Serial No.: 10/777,887 )  
Filed: February 12, 2004 )  
For: System and Method for Communica- )  
tion and Synchronization of Applica- )  
tion-Level Dependencies and owner- )  
ship of Persistent Consistency Point )  
Images )

Examiner: Not Yet Assigned

Art Unit: 2171

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
December 8, 2004

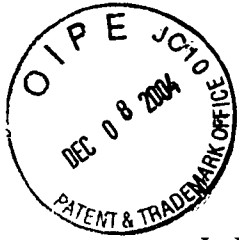
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PATENTS  
112056-0135U

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION-DISCLOSURE STATEMENT**

In keeping with the duty of candor and good faith owed to the Patent and Trade-  
mark Office, Applicants wish to bring to the Examiner’s attention the references listed on  
the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what  
they consider to be the relevance of any foreign-language reference. The Office may find  
additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account

No. 03-1237.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Duane H. Dreger", is written over a horizontal line.

Duane H. Dreger  
Reg. No. 48,836  
CESARI AND MCKENNA, LLP  
88 Black Falcon Avenue  
Boston, MA 02210-2414  
(617) 951-2500

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1	of	2
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Application Number	10/777,887
Filing Date	February 12, 2004
First Named Inventor	Amol R. Chitre et al.
Group Art Unit	2171
Examiner Name	Not Yet Assigned
Attorney Docket Number	112056-0135U

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	US2002/008 3037	A1	Lewis et al.	06-27-2002	
	2	US2003/018 2325	A1	Manley et al.	09-25-2003	
	3	US2003/018 2322	A1	Manley et al.	09-25-2003	
	4	US2003/018 2312	A1	Chen et al.	09-25-2003	
	5	US2003/018 2313	A1	Federwisch et al.	09-25-2003	
	6	US2003/018 2330	A1	Manley et al.	09-25-2003	
	7	US2004/003 0822	A1	Rajan et al.	02-12-2004	
	8	6,574,591		Kleinman et al.	06-03-2003	
	9	5,963,962		Hitz et al.	10-05-1999	
	10	6,038,570		Hitz et al.	03-14-2000	
	11	5,819,292		Hitz et al.	10-06-1998	

[illegible]

~~ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L.A~~

/Etienne Leroux/

07/03/2008

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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<p>Substitute for form 1449A/PTO</p> <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<p style="text-align: center;"><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Application Number</td><td>10/777,887</td></tr><tr><td>Filing Date</td><td>February 12, 2004</td></tr><tr><td>First Named Inventor</td><td>Amol R. Chitre et al.</td></tr><tr><td>Group Art Unit</td><td>2171</td></tr><tr><td>Examiner Name</td><td>Not Yet Assigned</td></tr><tr><td>Attorney Docket Number</td><td>112056-0135U</td></tr></table>		Application Number	10/777,887	Filing Date	February 12, 2004	First Named Inventor	Amol R. Chitre et al.	Group Art Unit	2171	Examiner Name	Not Yet Assigned	Attorney Docket Number	112056-0135U
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Attorney Docket Number	112056-0135U														
Sheet	2	of	2												

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	12	R. FIELDING ET AL., Standards Track HTTP/1.1 RFC 2616, Network Working Group, June 1999 pgs 1-143, The Internet Society	
	13	Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Draft SNIA CIFS Work Group Work-In-Progress, March 26, 2001, Storage Networking Industry Association	
	14	D. Hitz et al., File System Design for an NFS File Server Appliance TR3002, pgs. 1-10, Network Appliance, Inc.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.L./

Examiner Signature	/Etienne Leroux/	Date Considered	07/03/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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